

**APPENDIX**  
**CONFLICT OF INTEREST CODE**  
**OF THE**  
**TRI-CITY HEALTHCARE DISTRICT**

**(Proposed September 2013)**

**EXHIBIT "A"**

**OFFICIALS WHO MANAGE PUBLIC INVESTMENTS**

District Officials who manage public investments, as defined by California Code of Regulations, title 2, section 18701, subdivision (b), are not subject to the District's Code, but are subject to the disclosure requirements of the Act. (Gov. Code § 87200 *et seq.*) These positions are listed here for informational purposes only, and are required to file a statement of economic interest.

It has been determined that the positions listed below are officials who manage public investments<sup>1</sup>:

Members of the Board of Directors

President/Chief Executive Officer


Chief Financial Officer

**DESIGNATED POSITIONS**

**GOVERNED BY THE CONFLICT OF INTEREST CODE**

<b><u>DESIGNATED EMPLOYEES'</u></b> <b><u>TITLE OR FUNCTION</u></b>	<b><u>DISCLOSURE</u></b> <b><u>CATEGORIES ASSIGNED</u></b>
Vice President Legal Affairs	All
Compliance Officer	All
Director of Facilities	5
Senior Director of Finance and Controller	1, 2
Director Financial Planning and Analysis	1,2

<sup>1</sup> Individuals holding one of the above-listed positions may contact the FPPC for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The FPPC makes the final determination whether a position is covered by Government Code section 87200.

Approved and/or authorized by the Board of Supervisors of the County of San Diego.	
Meeting Date: 3/11/14	Minute Order No. 18
By: 	Date: 3/14/14
Deputy Clerk of the Board Supervisors	

Director of Materials Management	5
Executive Vice President and Chief Operating Officer	All
Facilities Manager	6
General Legal Counsel	All
Materials Manager	5
Vice President Strategic Services and Business Integration	All
Director of Business Development	1,2,5
Director of Business Development – Multicultural	1,2,6
Director of Business – OB	1,2,5
Chief Development Officer	All
Vice President and Chief Human Resources Officer	6
Chief Clinical Officer and Chief Nurse Executive	5
Vice President, Quality and Service Improvement	1,5
Director of Total Rewards and HRIS	5
Senior Director of Community Relations and Events	1,2
Senior Director Nursing	5,6
Senior Director Support Services	5
Directors and Senior Directors (ALL others not specified)	6
Employee Fiduciary Retirement Plan Subcommittee Members	7

## Consultant<sup>2</sup>

<sup>2</sup> Consultants shall be included in the list of Designated Employees and shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation:

The Chief Executive Officer may determine in writing that a particular consultant, although a “designated position,” is hired to perform a range of duties that are limited in scope and thus is not required to fully comply with the disclosure requirements described in this Section. Such written determination shall include a description of the consultant’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Executive Officer’s determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

## **EXHIBIT "B"**

### **DISCLOSURE CATEGORIES**

The disclosure categories listed below identify the types of investments, business entities, sources of income, including gifts, loans and travel payments, or real property which the Designated Employee must disclose for each disclosure category to which he or she is assigned.

Category 1: All investments and business positions in business entities, and sources of income that are located in, do business in or own real property within the jurisdiction of the District.

Category 2: All interests in real property which is located in whole or in part within, or not more than two (2) miles outside, the jurisdiction of the District.

Category 3: All investments and business positions in, and sources of income from, business entities that are engaged in land development, construction or the acquisition or sale of real property within the jurisdiction of the District.

Category 4: All investments and business positions in, and sources of income from, business entities that are banking, savings and loan, or other financial institutions.

Category 5: All investments and business positions in, and sources of income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the District.

Category 6: All investments and business positions in, and sources of income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the Designated Employee's Department.

Category 7: All financial interests in investment advisors and managers; financial services providers, actuaries, and those providing fiduciary services (including record-keeping) to retirement plans.

**RESOLUTION NO. 765**

**A RESOLUTION OF THE BOARD OF DIRECTORS  
OF TRI-CITY HEALTHCARE DISTRICT  
AMENDING CONFLICT OF INTEREST CODE**

**WHEREAS**, the Tri-City Healthcare District ("District") is required to periodically review its Conflict of Interest Code pursuant to Government Code section 87306; and

**WHEREAS**, the Board of Directors has determined that changes in its Conflict of Interest Code should be adopted to designate the members of the Employee Fiduciary Retirement Plan Subcommittee as public officials who participate in governmental decision-making based upon the changes adopted in the committee's scope of authority and to prescribe the scope of disclosure required by the committee's members;

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Directors of the District:

1. An amended Appendix to the Conflict of Interest Code, in the form attached hereto as Exhibit A, and incorporated herein by reference, is hereby tentatively adopted and promulgated.

2. The Chief Executive Officer is hereby directed to establish a forty-five (45) day public comment period to begin on November 4, 2013, by publishing a Notice of Intention to Amend the Conflict of Interest Code for Tri-City Healthcare District ("Notice") by posting the Notice on the District's employee bulletin boards and concurrently mailing the Notice to the Clerk of the San Diego County Board of Supervisors as the code-reviewing body for the District.

3. The amended Conflict of Interest Code shall become effective immediately upon:

(a) Its final approval by the Board of Directors following the close of the public comment period and a public hearing, if requested, at its meeting on January 23, 2014; and

(b) Its approval by the San Diego County Board of Supervisors as the code-reviewing body.

4. Upon the amended Conflict of Interest Code's final approval, the Chief Executive Officer of the District is hereby directed and authorized to submit a certified copy of

this resolution to the San Diego County Board of Supervisors for approval.


APPROVED AND ADOPTED this 31st day of October, 2013.

AYES: DIRECTORS CAMPO, DAGOSTINO, FINNILA, KELLETT, NYGAARD, RENO,  
SCHALLOCK


NOES: NONE

ABSENT: NONE

ABSTAIN: NONE

  
\_\_\_\_\_  
Chairperson, Board of Directors  
Tri-City Healthcare District.

ATTEST:

  
\_\_\_\_\_  
Secretary, Board of Directors  
Tri-City Healthcare District



Tri-City Medical Center

COUNTY OF SAN DIEGO

**ADVANCE**

2013 NOV 7 PM 4 48

November 4, 2013

CLERK OF THE BOARD  
OF SUPERVISORS

Clerk of the San Diego County  
Board of Supervisors  
1600 Pacific Highway, Room 402  
San Diego, CA 92101

To whom it may concern:

Attached is a Notice of Intention to Amend the Conflict of Interest Code for Tri-City Healthcare District which was posted on November 4, 2013.

Respectfully,

Teri L. Donnellan  
Executive Assistant

Attach.

## **NOTICE OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE FOR TRI-CITY HEALTHCARE DISTRICT**

**NOTICE IS HEREBY GIVEN** that Tri-City Healthcare District (the "District") has tentatively approved and intends to finally adopt an amended Conflict of Interest Code ("Code") pursuant to Government Code section 87306 at its meeting on January 30, 2014. The purpose of these amendments is to implement the requirements of Government Code sections 87300 through 87302 and 87306.

The Code designates those employees, officers, officials and consultants who make or participate in making governmental decisions and must file disclosure statements. The District proposes to amend its Code to designate the members of the Employee Fiduciary Retirement Plan Subcommittee as decision-makers and prescribe their required scope of disclosure, based on the current committee charter which delegates limited decision-making authority to the committee relating to the administration of the District employee pension plans.

A public comment period has been established commencing on November 4, 2013, and terminating on December 20, 2013 (a 45-day period). Any interested person may present written comments concerning the proposed amendments. Written comments to the Code must be submitted no later than 1:30 p.m. on December 20, 2013 to the District's representative at the address listed below to be considered by the District before it adopts the amended Code. No public hearing on this matter will be held unless any interested person or his or her representative requests a public hearing no later than December 5, 2013, fifteen (15) days prior to the close of the written comment period.

The exact terms of the proposed amendments to the Code, and all of the information upon which the amendments are based, are available for inspection and copying by interested persons by contacting the District's representative listed below. Any general inquiries concerning the proposed amendments to the Code should be directed to the District's representative.

District's Representative: Teri L. Donnellan, Executive Assistant  
Tri-City Medical Center  
4002 Vista Way  
Oceanside, CA 92056  
(760) 940-3347